

12-06-01 A

10/25/01

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	ELL001US2 CON
	First Inventor	Robert C. Herrmann
	Title	Micro and Ultrafilters with Controlled Pore Sizes and Pore Size Distribution and Methods of Making
	Express Mail Label No.	EL791520285US

APPLICATION ELEMENTS	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
3. <input checked="" type="checkbox"/> Specification total pages: 21 (preferred Arrangement set forth below)	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Form
- Cross References to Related Applications	b. <input type="checkbox"/> Specification Sequence Listing on:
- Statement Regarding Fed sponsored R&D	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Reference to sequence listing, a table, or a computer program listing appendix	ii. <input type="checkbox"/> paper
- Background of the Invention	c. <input type="checkbox"/> Statements verifying identity of above copies
- Brief Summary of the Invention	
- Brief Description of the Drawings	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) [total sheets: 7]	
5. <input checked="" type="checkbox"/> Oath or Declaration total sheets: 3]	
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))
b. <input checked="" type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))	10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> English Translation Document
	12. <input type="checkbox"/> IDS & Form 1449 <input checked="" type="checkbox"/> Copies of IDS Citations
	13. <input checked="" type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s)
	16. <input type="checkbox"/> Request & Certification under 35 USC 122(b)(2)(B)(i)
	17. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing

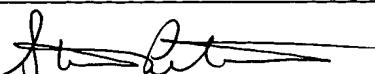
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/341,483

Prior application information: Examiner: A. Fortuna Group/Art Unit: 1723

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	or <input checked="" type="checkbox"/> Correspondence address below				
Name	Steven C. Petersen				
	Hogan & Hartson, LLP				
Address	1200 17 th Street Suite 1500				
City	D n v r	State	CO	ZIP	80202
Country	US	Telephone	(720) 406-5315	Fax	(720) 406-5301
Name (Print/Type)	Steven C. Petersen		Registration No.	36,238	
(Signature)				Date	10/25/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert C. Herrmann, *et al.*

Serial No. Not Yet Accorded

Filing date: Not Yet Accorded

For: Micro and Ultrafilters with Controlled Pore Sizes
and Pore Size Distribution and Methods of Making

Group Art Unit: Not Yet Accorded

Examiner: Not Yet Accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Specification (21 pages);
3. Drawings (7 pages);
4. Copies of Assignments from U.S. Serial No. 09/341,483;
5. Recordation Cover Sheet;
6. Copies of Declarations from U.S. Serial No. 09/341,483;
7. PTO form 1449;
8. Information Disclosure Statement;
9. Copies of 61 references;
10. Fee Transmittal Form (in duplicate);
11. Check in the amount of \$590.00 (\$550.00 Filing fee and \$40.00 Recordation fee); and
12. Return postcard

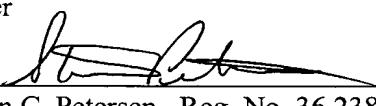
relating to the above application, were deposited as "Express Mail", Mailing Label No. EL791520285US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231.

10/25/01
Date



Mailer

10/25/01
Date



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FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$) **(\$590.00)**

Complete if Known	
Application Number	Not Yet Accorded
Filing Date	Not Yet Accorded
First Named Inventor	Robert C. Herrmann
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Accorded
Attorney Docket No.	ELL001US2 CON

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	370	Utility Filing Fee	370.00
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	
SUBTOTAL (1)			(\$370.00)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
40	2		-20**= 20	X 9 = 180	
			-3***= 0	X 0 = 0	
				= 0	

*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		
(\$180.00)		

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65		Surcharge – late filing fee or oath	
50	25		Surcharge – late provisional filing fee or cover sheet	
130	130		Non-English specification	
2,520	2,520		For filing a request for ex parte reexamination	
920*	920*		Requesting publication of SIR prior to Examiner action	
1,840*	1,840*		Requesting publication of SIR after Examiner action	
110	55		Extension for reply within first month	
390	195		Extension for reply within second month	
890	445		Extension for reply within third month	
1,390	695		Extension for reply within fourth month	
1,890	945		Extension for reply within fifth month	
310	155		Notice of Appeal	
310	155		Filing a brief in support of an appeal	
270	135		Request for oral hearing	
1,510	1,510		Petition to institute a public use proceeding	
110	55		Petition to revive – unavoidable	
1,240	620		Petition to revive – unintentional	
1,240	620		Utility issue fee (or reissue)	
440	220		Design issue fee	
600	300		Plant issue fee	
130	130		Petitions to the Commissioner	
50	50		Processing fee under 37 CFR 1.17(q)	
180	180		Submission of Information Disclosure Stmt	
40	40		Recording each patent assignment per property (times number of properties)	40.00
710	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355		For each additional invention to be examined (37 CFR § 1.129(b))	
710	355		Request for Continued Examination	
900	900		Request for expedited examination of a design application	
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				
SUBTOTAL (3)			(\$40.00)	

SUBMITTED BY Complete (if applicable)

Name (Print/Type)	Steven C. Ptersen	Registration No. (Attorney/Agent)	36,238	Telephone	(720) 406-5315
Signature				Date	10/25/01